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Attorney Docket No.: 020884-000310)

P E C

Assistant Commissioner for Patents

Attn: Box Missing Parts Washington, D.C. 20231

April 10, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Olra allebello

Debra Ann DeBello

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kurt M. de Grosz et al.

Application No.: 10/008,951

Filed: December 5, 2001

For: INSURANCE RENEWAL SYSTEM AND METHOD

Examiner:

**UNKNOWN** 

Art Unit:

UNKNOWN

TRANSMITTAL LETTER -

RESPONSE TO NOTICE OF MISSING

**PARTS** 

Attn: Box Missing Parts

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated January 9, 2002, enclosed are the following to be made of record in the above-identified application:

- 1) Fee Transmittal
- 2) Executed Declaration
- 3) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)
- 4) Petition to Extend Time
- 5) Copy of Notice of Missing Parts

Please charge Deposit Account No. 20-1430 for the following fees:

Kurt M. de Grosz et al. Application No.: 10/008,951

Page 2

Small Entity: (a) Filing Fee (§ 1.16(a)) (Small Entity) \$0.00

(b) Excess Claims Fees (§ 1.16(b), (c)):

23 - 23 = 0 x \$ 9.00 = \$ 0.00

3 - 3 = 0 x \$42.00 = \$0.00

(c) Missing Parts Surcharge \$65.00

TOTAL FEES TO BE CHARGED \$65.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

Joseph R. Snyder Reg. No. 39,381

### Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834

Tel: 925 472-5000 Fax: 415 576-0300

JS:dad

WC 9039971 v1











# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USDIO.GOV

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/008,951 12/05/2001 Kurt M. de Grosz 02884-000310US

02004-00051005

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834 CONFIRMATION NO. 3716
FORMALITIES LETTER
\*OC0000000007284031\*

Date Mailed: 01/09/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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	Complete if Known				
FEE TRANSMITTAL	Application Number	10/008,951			
or FY 2001	Filing Date	December 5, 2001			
1 7 2002	First Named Inventor	de Grosz, Kurt M.			
ent fees are subject to annual revision.	Examiner Name	UNKNOWN			
<u>.</u>	Group Art Unit	UNKNOWN			
OUNT OF PAYMENT (\$) 265	Attorney Docket No.	020884-000310US			

METHOD OF PAYMENT						FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge					3. ADI	3. ADDITIONAL FEES						
1. indicated fees and credit any over payments to:			Large	Entity	Small	Entity						
	Deposit					Fee	Fee	Fee	Fee	Fee Description	Fee	
	Account	20-	1430			Code 105	(\$) 130	Code 205	(\$) 65	•	Paid 65	
Number			127	50	205	25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee	05				
	Deposit								or cover sheet.			
Account Townsend and Townsend and Crew LLP				139	130	139	130	Non-English specification				
	Name				147	2,520	147	2,520	For filing a request for reexamination			
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
Applicant claims small entity status.  See 37 CFR 1.27					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
2.	☐ Paym	ent Encl	osed:			115	110	215	55	Extension for reply within first month		
☐ Check ☐ Credit card ☐ Money ☐ Other				116	400	216	200	Extension for reply within second month	200			
				Order		117	920	217	460	Extension for reply within third month		
1.	BASIC F	II ING FI		ALCULATION		118	1,440	218	720	Extension for reply within fourth month		
Larg			Entity			128	1,960	228	980	Extension for reply within fifth month		
Fee	Fee	Fee	Fee	Fee Description		119	320	219	160	Notice of Appeal		
Cod	e (\$)	Code	(\$)	•	Fee Paid	120	320	220	160	Filing a brief in support of an appeal		
101	740	201	370	Utility filing fee		121	280	221	140	Request for oral hearing		
106 107	330 510	206 207	165 255	Design filing fee Plant filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding		
108	740	208	370	Reissue filing fee		140	110	240	55	Petition to revive – unavoidable		
114	160	214	80	Provisional filing		141	1,280	241	640	Petition to revive - unintentional		
				142	1,280	242	640	Utility issue fee (or reissue)				
SUBTOTAL (1) (\$)					143 .	460	243	230	Design issue fee			
2 F	XTRA CLA	M FEES				144	620	244	310	Plant issue fee		
	XIIIX OLA		•	Extra Fee	from Fee	122	130	122	130	Petitions to the Commissioner		
Total (	Claims	-20	** = <u>[</u>	Claims bel	ow Paid	123	50	123	50	Petitions related to provisional applications		
Indepe Claims	ndent	-3*	• = [	×	=	126	180	126	180	Submission of Information Disclosure Stmt		
Multipl Depen			L	x	=	581	40	581	40	Recording each patent assignment per property (times number of properties)		
Larg Fee	e Entit	y Small Fee	Enti	•		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
Cod 103		Code 203	( <b>\$</b> )	Fee Descript Claims in exc		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
103	84	203	42		claims in excess of 3						$\vdash \vdash \vdash \mid$	
104	280	204	140	•	ndent claim, if not paid	179	740	279	370	Request for Continued Examination (RCE)	[	
109	84	209	42	** Reiseue independent claims over			900	169	900	Request for expedited examination of a design application		
110	18	18 210 0 ** Reissue claims in excess of 20 and				d Other fo	Other fee (specify)					
				over original p			The Commissioner is authorized to charge any additional fees to					
			S	SUBTOTAL (2)	(\$)	the above noted Deposit Account.  *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$1265)					<del></del>	
					*Redu	ced by Ba	asıc Filin	g Fee Pa	aid SUBTOTAL (3) (\$)265			
**or	number previ	ously paid	l, if greate	r; For Reissues, se	e above							

SUBMITTED BY				Complete (if applicable)			
Name (Print/Type)	Joseph R. Snyder	Registration No. (Attorney/Agent)	39,381	Telephone	925-472-5000		
Signature	Jack 1	· la~		Date	April 10, 2002		

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PTO/SB/96 (08-00)
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Attorney Docket No.

STATEMENT UNDER 37 CFR 3.73(b)					
Applicant/Rationt Owner: Kurt M. de Grosz et al.					
Application No./Patent No.: 10/008,951	iled/Issue Date: 12/05/01				
Entitled: INSURANCE RENEWAL SYSTEM AND METHOD					
BenefitPoint, Inc. , a corpora	ation				
(Name of Assignee) (Type of Assi	gnee, e.g., corporation, partnership, university, government agency, etc.)				
states that it is:					
1. the assignee of the entire right, title, and interest	; or				
an assignee of an undivided part interest					
in the patent application/patent identified above by virtue of ei	ther:				
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.					
OR					
B. A chain of title from the inventor(s), of the patent applic shown below:	ation/patent identified above, to the current assignee as				
From:  The document was recorded in the United States I  Reel, Frame, or for which a copy the					
, v.a, o					
From:  The document was recorded in the United States I	To:				
Reel, Frame, or for which a copy the					
3. From:	To :				
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.					
☐ Additional documents in the chain of title are listed	on a supplemental sheet.				
☐ Copies of assignments or other documents in the chain of <a href="MOTE">[NOTE</a> : A separate copy (i.e., the original assignment documents be submitted to Assignment Division in accordance we recorded in the records of the USPTO. <a href="See">See</a> MPEP 302.8]	ument or a true copy of the original document)				
The undersigned (whose title is supplied below) is empowered	t to sign this statement on behalf of the assignee.				
3/19/02	Led litter				
Date	Signature				
	Kurt de Grosz				
	Typed or printed name				
	Co-founder				
	Title				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.